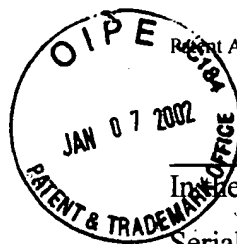


Receipt #



Patent Application S/N 09/773,256

Attorney Docket No. P-2170

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of: Brownell, et al.

Serial No. 09/773,256

Art Unit: 2131

Filed: January 30, 2001

Examiner: Unknown

For: **SECURITY MECHANISM FOR COMPUTER PROCESSING MODULES**

Hon. Assistant Commissioner for Patents  
Washington, D.C. 20231

November 7, 2001

Dear Sirs:

I, JAMES D. IVEY, certify that this paper and the enclosed copy of Form PTO 1082 (1 page), and Express Mail Corporate Account Statement (1 page), and return postcard are being deposited with the U.S. Postal Service with sufficient postage as First Class Mail addressed to The Honorable Assistant Commissioner for Patents, Washington, D.C. 20231 on November 7, 2001.

James D. Ivey, Reg. No. 37,016

**(SECOND) REQUEST FOR CORRECTED FILING RECEIPT**

Applicants respectfully note that the Updated Filing Receipt received on September 26, 2001, in the referenced application still reflects the incorrect filing date and that the correct filing date is January 30, 2001 as indicated on the enclosed copy of Form PTO 1082 with certification of mailing by Express Mail Label No. EK 888 993 606 US. Furthermore, it is indicated on the enclosed Express Mail Corporate Account Statement for period January 27, 2001 - February 23, 2001 that Label No. EK 888 993 606 US was deposited with the U.S. Postal Service on January 30, 2001.

Applicants respectfully request a corrected filing receipt.

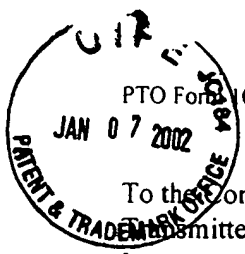
Any questions or comments with respect to the referenced application or with respect to this paper should be directed to the undersigned by telephone at (510) 336-1100.

Respectfully submitted,

**LAW OFFICES OF JAMES D. IVEY**  
3025 Totterdell Street  
Oakland, California 94611-1742  
Voice: (510) 336-1100  
Facsimile: (510) 336-1122

James D. Ivey  
Attorney for Applicants  
Reg. No. 37,016

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PATENT APPLICATION TRANSMITTAL LETTER

To the Commissioner of Patents and Trademarks:

Submitted herewith for filing is the patent application of

Inventors: Brownell, Ansell, Devine and Levine

For: **SECURITY MECHANISM FOR COMPUTER PROCESSING MODULES**

Enclosed are:

- ☒ 7 sheets of drawings:
  - ☒ Informal ☐ Formal
- ☒ Assignment of the Invention to: Liquid Audio, Inc.
- ☒ Declaration of the Inventor(s) (Unexecuted - 3 pages)
- ☐ Power of Attorney
- ☐ List of Documents Cited w/Copies of Documents and
- ☐ Discussion of Documents Cited
- ☐ Information Disclosure Statement
- ☐ Preliminary Amendment
- ☒ Other: Request and Certification Under 35 U.S.C. 122  
(b)(2)(B)(i) - 1 page

**Certificate of Mail by Express Mail under 37 CFR § 1.10**

EXPRESS MAIL LABEL NO.: EK 888 993 606 US  
Date of Deposit: January 30, 2001

I hereby certify that this paper or fee, the enclosed new patent application, and the listed enclosed papers, and return postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Box: PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

**COPY** *[Signature]*

	NO. FILED	NO. EXTRA	SMALL ENTITY		LARGE ENTITY	
			RATE	FEE	RATE	FEE
BASIC FEE	0	0	\$355	\$ 0	\$710	\$710
TOTAL CLAIMS	75 - 20 =	55	x 9	\$ 0	x 18	\$990
INDEPENDENT CLAIMS	6 - 3 =	3	x 40	\$ 0	x 80	\$240
MULTIPLE DEP. CLAIM PRESENT	0	0	x 130	\$ 0	x 260	\$0
TOTAL						\$1,940

- ☐ Please charge the Deposit Account No.      -      in the amount of \$           . A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$0.00 is enclosed for the above-listed fees.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.      -     . A duplicate copy of this sheet is enclosed.
  - ☐ Any additional filing fees required under 37 CFR 1.16.
  - ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No.      -     . A duplicate copy of this sheet is enclosed.
  - ☐ Any patent application processing fees under 37 CFR 1.17.
  - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
  - ☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

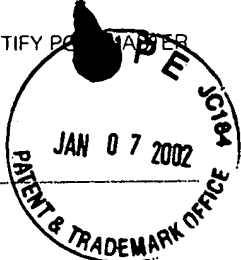
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*[Signature]*  
James D. Ivey  
Reg. No. 37,016  
Attorney for Applicant(s)

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PAGE: 1

UNITED STATES POSTAL SERVICE™

EXPRESS MAIL CORPORATE ACCOUNT

ACCOUNT STATEMENT

PERIOD: 01/27/01 - 02/23/01

DATE	CHARGE BACK	LABEL NUMBER	ORIG ZIP	DEST ZIP	ADJ # REF #	POSTAGE	FEES		DEPOSITS	WITH- DRAWALS	CDA LEG
							PICKUP	DELIVERY			
BEGINNING BALANCE:						188.25					
01/29/01		EK888993623US	94661	20231		12.25					
01/29/01		EK888993637US	94661	20231		12.25					
01/30/01		EK888993606US	94623	20231		16.00					
SUB-TOTAL:						40.50					
(A) TOTAL CHARGES :						40.50	0.00	0.00			
(B) TOTAL ADJUSTMENTS (\$):						0.00	0.00	0.00			
(C) TOTAL REFUNDS (*):						0.00	0.00	0.00			
TOTAL (A + B - C):						40.50	0.00	0.00			
ENDING BALANCE:						147.75					

COPY